

# "Detection and Prediction of Plaque Rupture Using OCT"

**How Can We Predict and Prevent  
Adverse Ischemic Events?**

So-Yeon Choi, MD., PhD.  
Department of Cardiology  
Ajou University School of Medicine

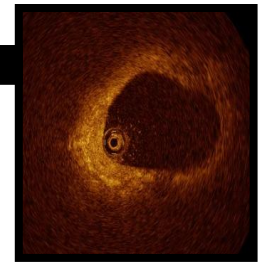
# "Detection and Prediction of Vulnerable Plaque Using OCT"

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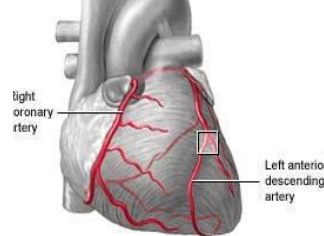
# Can Invasive Imaging Predict and Prevent CV Events?

Vulnerable plaque



Invasive Imaging

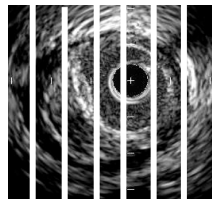
Rapid growing  
Thrombus formation



Revascularization, MI, SCD

# Intracoronary Imaging Modalities

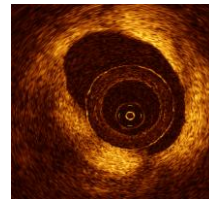
## Ability of Detection for Vulnerable Plaque



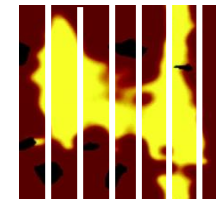
Grey Scale  
IVUS



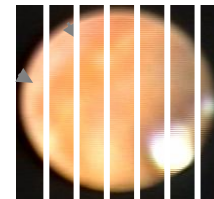
RF-IVUS



OCT



NIR  
Spectroscopy



Angioscopy

|                       | Grey Scale IVUS | RF-IVUS | OCT   | NIR Spectroscopy | Angioscopy |
|-----------------------|-----------------|---------|-------|------------------|------------|
| Axial Resolution (μm) | 100             | 200     | 10-20 | NA               | 10-50      |
| PR                    | ++              | +       | +++   | -                | +/-        |
| TCFA                  | +/-             | +++     | ++    | +/-              | +          |
| Necrotic Core         | +/-             | ++      | +     | ++               | +          |
| Thrombus              | +/-             | -       | ++    | +/-              | ++         |
| Calcium               | ++              | +       | ++    | -                | +/-        |
| Thin cap              | -               | +       | +++   | -                | +/-        |

# What we already know about VPs from pathology and IVUS studies



VPs are characterized thin fibrous cap, extensive macrophage infiltration, paucity of smooth muscle cells, and large lipid core.



2/3 of lesions showed <75% cross sectional luminal narrowing (<50% DS).



VPs are prevalent in patients with high hs-CRP or ACS.



VPs distributes dominantly in proximal site in coronary tree.



VPs related with variable clinical presentation.

Symptomatic vs. silent (=secondary =innocent)

Luminal narrowing and thrombus formation



VPs are at the risk of immediate complications during PCI.



VPs might be related with clinical outcomes (lesion progression, CV events)



VPs could be stabilized with statin.

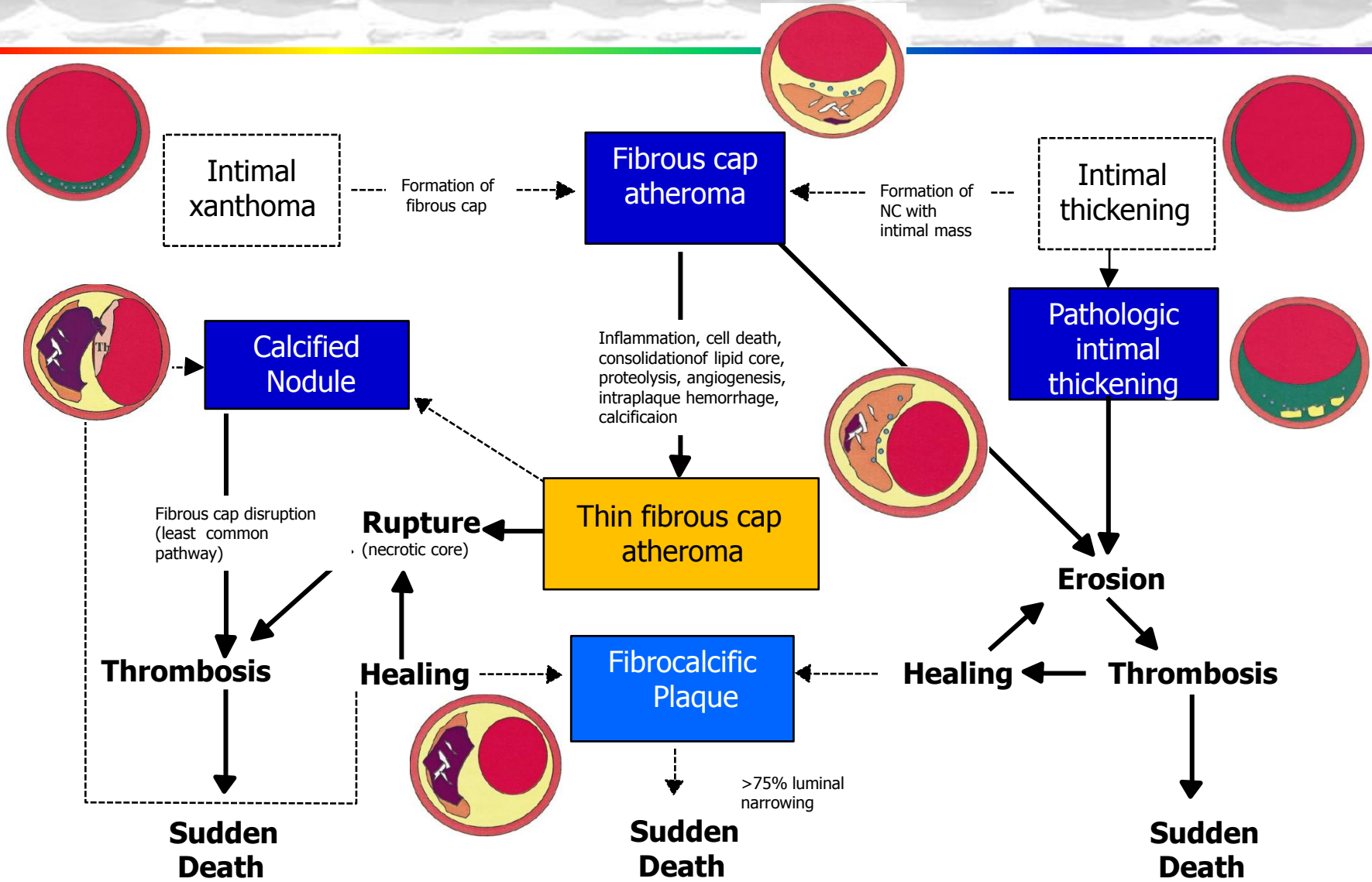
# What is OCT benefit for detecting VPs?

## Compared with IVUS

- High resolution → better detection
- Differentiate phenotype of vulnerable plaques and other causes of CV events
- Understanding of mechanisms of plaque rupture
- Information of details: cap thickness, macrophage infiltration, microvessels, thrombus ...inflammation?



# Scheme for AS Plaques Related to SCD

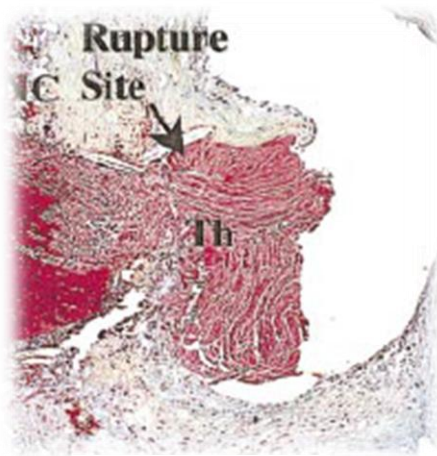
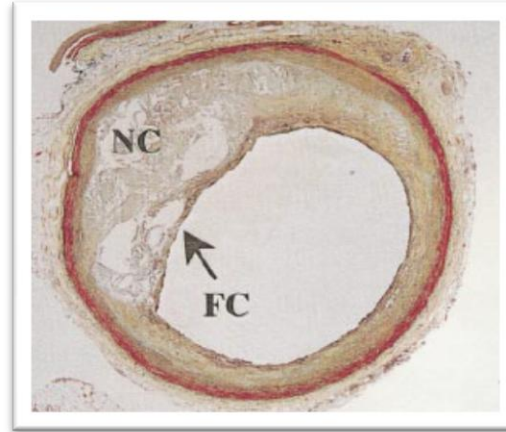


Distribution of Culprit Plaques by Sex and Age in 241 Cases of SCD  
 Virmani R et al, Arterioscler Thromb Vasc Biol 2000;20;1262-1275

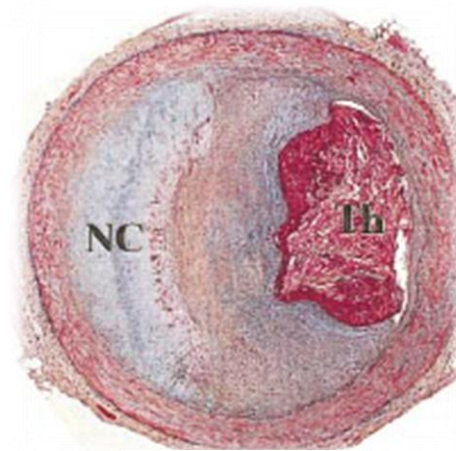
# Plaque features related with clinical events

## Pathology

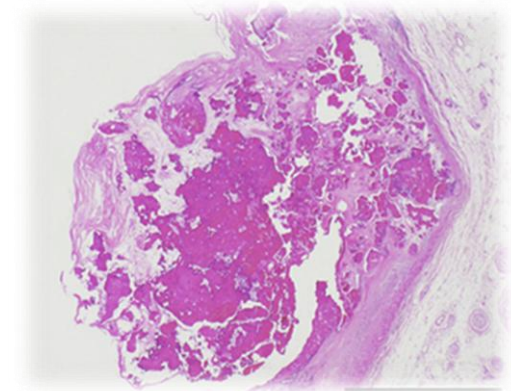
### TCFA



**Rupture**



**Erosion**



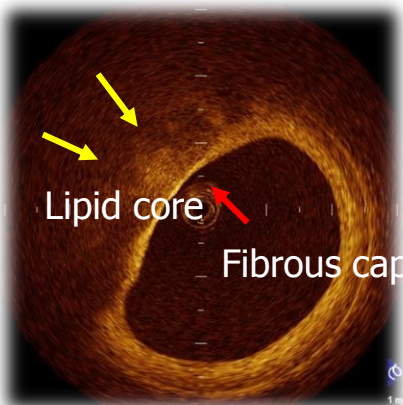
**Calcific nodule**



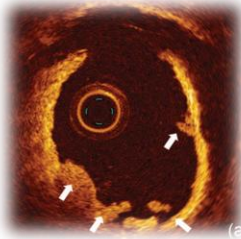
# Plaque features related with clinical events

OCT

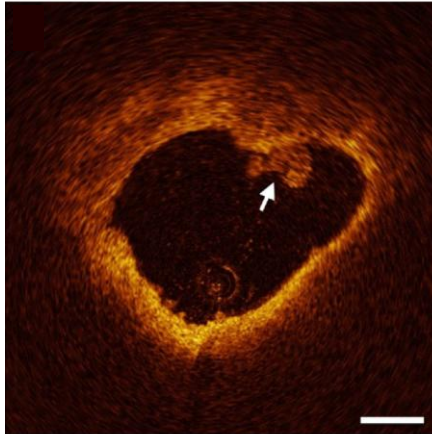
**TCFA**



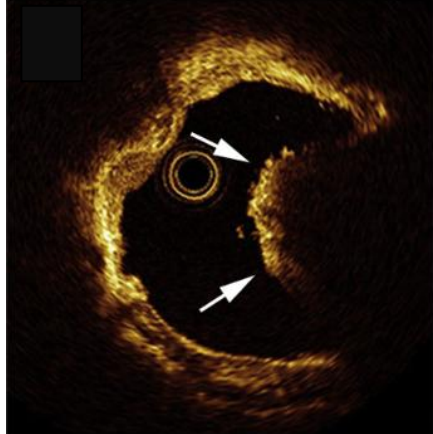
**SCD**



**Rupture**



**Erosion**



**Calcific nodule**

# Direct comparison of VH-IVUS and OCT for “pathologic” TCFA

258 ROI were obtained from 14 autopsied human hearts, with plaque composition and classification assessed by histology and compared with coregistered ex vivo VH-IVUS and OCT

- **Maximum lipid arc** on OCT was an excellent discriminator of fibroatheroma (AUC 0.92, 95% CI 0.87-0.97) and TCFA (AUC 0.86, 95% CI 0.81-0.92), with lipid arc  $\geq 80^\circ$  the optimal cut-off value.
- The sensitivity, specificity, and diagnostic accuracy for TCFA identification was 63.6%, 78.1%, and **76.5% for VH-IVUS** and 72.7%, 79.8%, and **79.0% for OCT**.
- Combining **VH-defined fibroatheroma and fibrous cap thickness  $\leq 85 \mu\text{m}$**  over 3 continuous frames improved TCFA identification, with diagnostic accuracy of **89.0%**.

**CONCLUSIONS:** Both VH-IVUS and OCT can reliably identify TCFA, although OCT accuracy may be improved using lipid arc  $\geq 80^\circ$  and fibrous cap thickness  $\leq 85 \mu\text{m}$  over 3 continuous frames. Combined VH-IVUS/OCT imaging markedly improved TCFA identification.

# Causes of STEMI/NSTEMI

## In vivo OCT imaging study

| Study                                      | Pts  | RFC =PR     | IFC =Plaque erosion | Calcific nodules | Others         | Findings   |
|--|--|-------------|---------------------|------------------|----------------|--|
| Prati, J Am Coll Cardiol Img 2013;6:283-7. | ? STEMI In the OCT databases of 4 institutions |             | 31                  |                  |                | 40% 에서 non-occlusive lesion으로 약물치료 no event                      |
| Jia, J Am Coll Cardiol 2013;62:1748-58.    | 126 STEMI/NSTEMI                               | 55 (43.7%)  | 39 (31.0%)          | 10 (7.9%)        | 3 SCAD, 22 UD  | 병변차이는 있다. Erosion은 젊은 사람에서 생기고 임상양상이 NSTEMI로 더 잘 온다              |
| Nishiguchi EHJ Acute CV Care 2013 e-pub    | 326 STEMI/NSTEMI                               | 160 (49.1%) | 135 non-PR/non-SCAD |                  | 13 (4.0%) SCAD | SCAD is not a rare, SCAD에서 여자빈도 높다                               |
| Guagliumi, JACC Intv 2014;7:958            | 140 STEMI age-matched men and women in OCTAVIA | 69          | 35                  |                  | 2 SCAD, 34 UD  | 남녀 차이 없다   |
| Saia, J Am Coll Cardiol Img 2015;8:566-75. | 97 STEMI in OCTIVA                             | 63          | 32                  |                  | 2 SCAD         | 병변차이는 있다. 임상양상은 좀 다르지만 치료 후에 반응은 유사하다.                           |
| Higuma JACC Intv 2015;8:1166               | 112 STEMI                                      | 72 (64.3%)  | 30 (26.8%)          | 9 (8.0%)         |                | CN의 경우 neg remodelin과 연관된다. Erosion의 경우 PCI후 no-reflow가 PR보다 적다. |

# A Combined OCT and IVUS on PR, PE, and CN in Patients With STEMI

112 STEMI pts who underwent PCI within 12 h from symptom onset were included. Both OCT and IVUS were performed following aspiration thrombectomy.

- **The incidence of PR, PE, and CN was 64.3%, 26.8%, and 8.0%**, respectively.
- **PE and CN**, compared with PR, had **more fibrous plaque** ( $p < 0.001$  and  $p < 0.001$ ) and **less thin-cap fibroatheroma** ( $p < 0.001$  and  $p < 0.001$ ) as well as **smaller plaque burden** ( $p = 0.003$  and  $p = 0.001$ ) and **remodeling index** ( $p = 0.003$  and  $p < 0.001$ ).
- **PE** had **greater plaque eccentricity** index than PR and CN ( $p < 0.001$  and  $p < 0.001$ ).
- **CN** had greater calcified arc and shallower calcium than PR ( $p < 0.001$  and  $p < 0.001$ ) or PE ( $p < 0.001$  and  $p < 0.001$ ). More than one-half of CN had **negative remodeling**.

**CONCLUSIONS:** PE was the underlying mechanism in one-fourth of STEMI. PE was characterized by eccentric fibrous plaque. CN was characterized by superficial large calcium and negative remodeling. PE was associated with less microvascular damage after PCI.

# Eroded vs. Ruptured Plaques at the Culprit Site of STEMI (OCTAVIA)

## In Vivo Pathophysiological Features and Response to Primary PCI

In a prospective study, 140 pts with STEMI underwent OCT of the IRA before PCI, after EES implantation and at 9-month follow-up

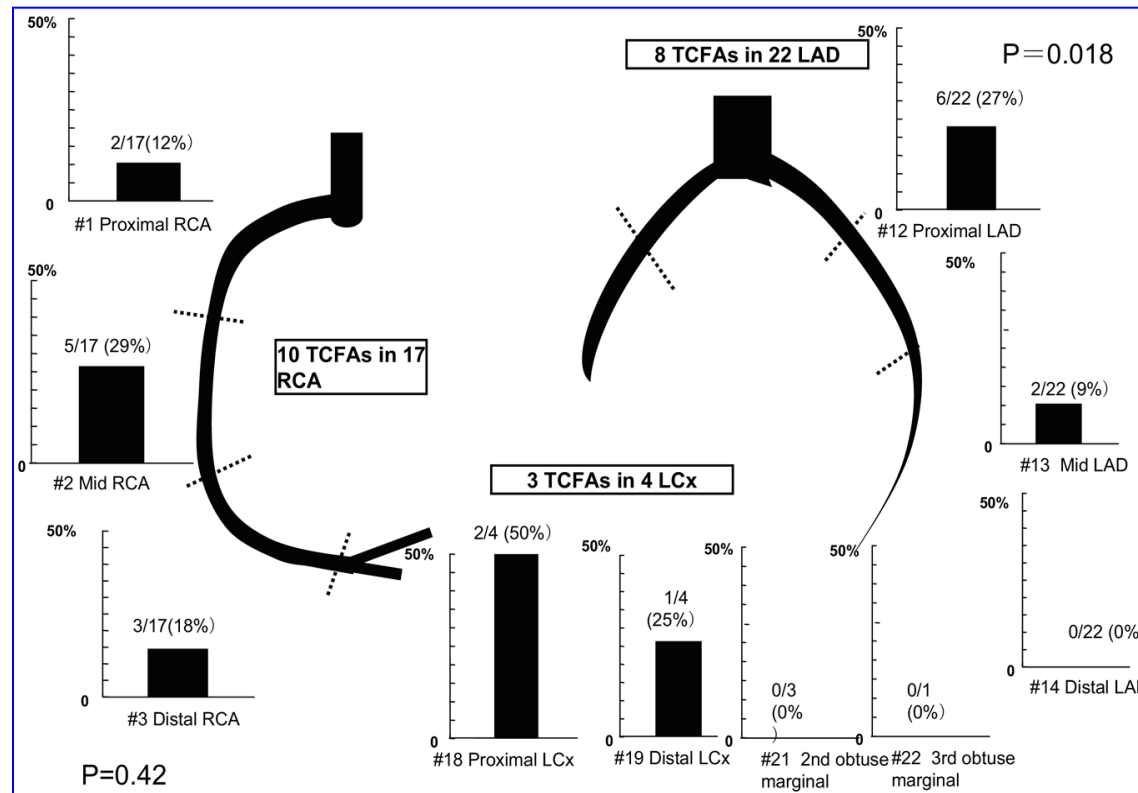
- Culprit plaque morphology was adjudicated in 97 pts: **32 plaques (33.0%) with an intact fibrous cap (IFC), 63 (64.9%) plaques with a ruptured fibrous cap (RFC), and 2 (2.1%) SCDs.**
- An **IFC** presented more frequently with a **patent IRA** (56.2% vs. 34.9%;  $p = 0.047$ ), and had **fewer lipid areas** (lipid-rich areas: 75.0% vs. 100.0%;  $p < 0.001$ ) and **less residual thrombus** before stenting (white thrombus: 0.41 mm<sup>3</sup> vs. 1.52 mm<sup>3</sup>;  $p = 0.001$ ; red thrombus: 0 mm<sup>3</sup> vs. 0.29 mm<sup>3</sup>;  $p = 0.001$ ) with a **lower peak of CKMB** (66.6 IU/l vs. 149.8 IU/l;  $p = 0.025$ ).
- At the 9-month OCT, IFC and RFC had similar high rates of stent strut coverage (92.5% vs. 91.2%;  $p = 0.15$ ) and similar percentage of volume obstruction (12.6% vs. 10.2%;  $p = 0.27$ ). No significant differences in clinical outcomes were observed up to 2 years.

**CONCLUSIONS:** In the present study, an IFC was observed at the culprit lesion site of one-third of STEMIs. IFC, compared with RFC, was associated with higher rates of patent IRA at first angiography, fewer lipid areas, and residual endoluminal thrombus. However, no difference in vascular response to EES was observed.



# Distribution and frequency of PR and OCT-TCFA

3V OCT study in 43 patients with ACS

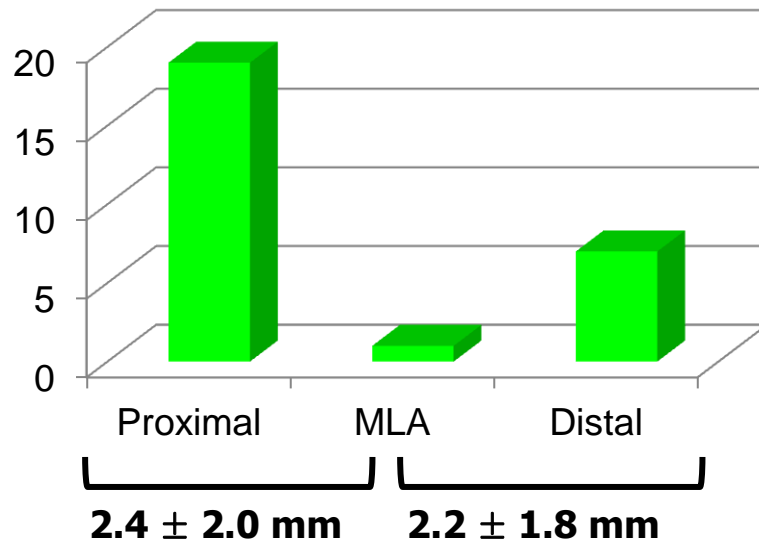


PR was found in 28 patients (65%) and multiple PRs in 5 patients (12%).  
 21 TCFA was found in 18 patients (42%) and multiple TCFAs were found in the same vessel in 3 patients (7%).

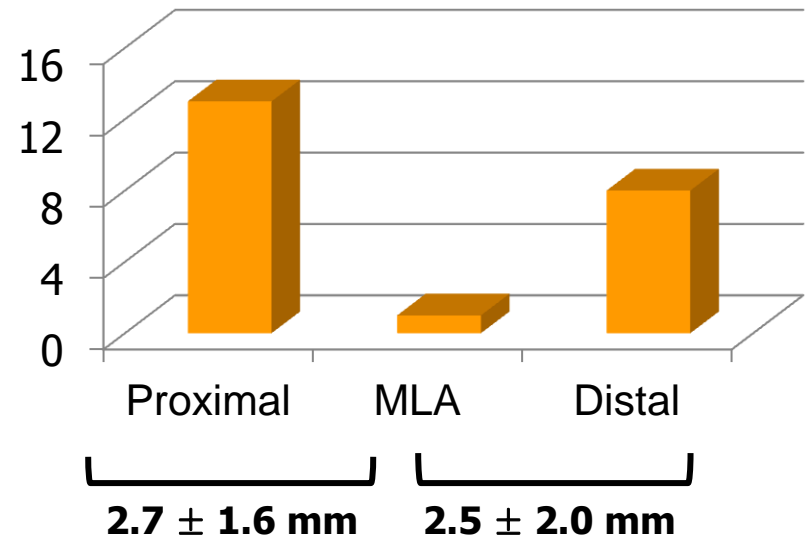
# Axial Distribution of PR and TCFA

48 culprit lesions in 48 patients with ACS

## Plaque Rupture



## TCFA

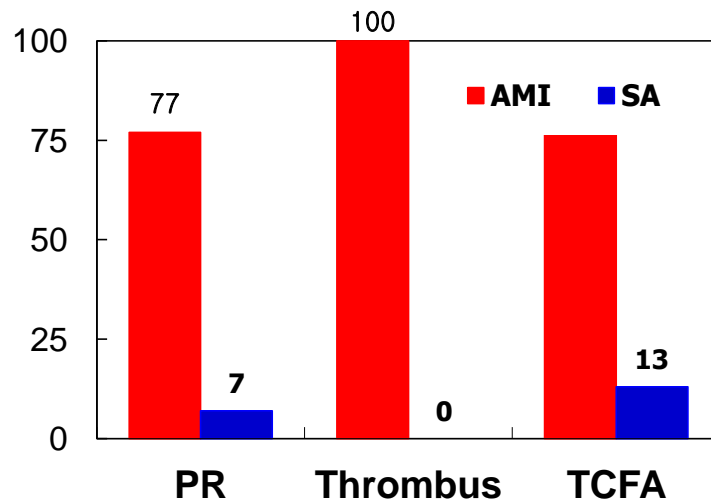


Conclusions: OCT showed that the MLA is rarely at the site of greatest instability (location of rupture and TCFA) and plaque instability sites are more common proximal to MLA site within the lesion in ACS.

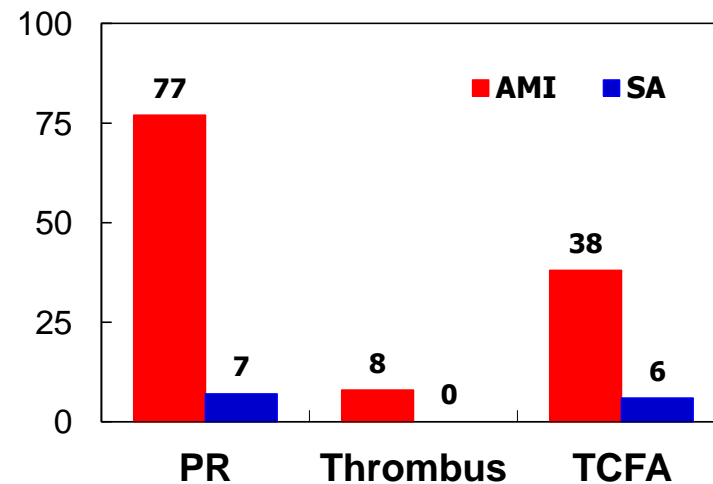
# Multiple Coronary Lesion Instability in Pts with AMI

26 AMI pts and 16 SAP pts who had multivessel disease and underwent multivessel PCI

**In the infarct-related/target lesion**



**In the non-infarct-related/non-target lesion**

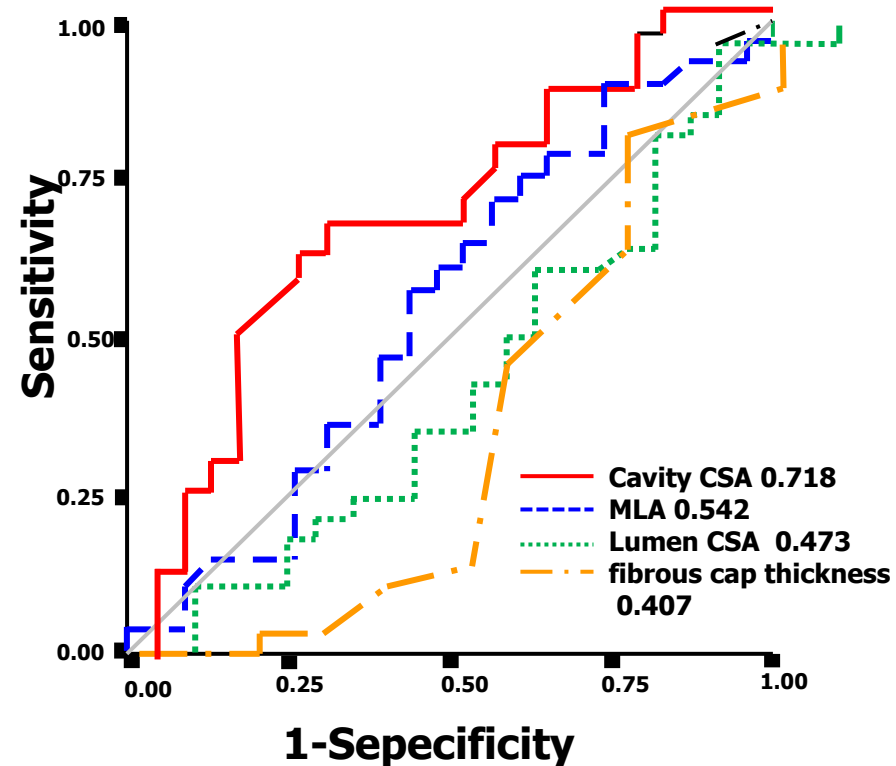
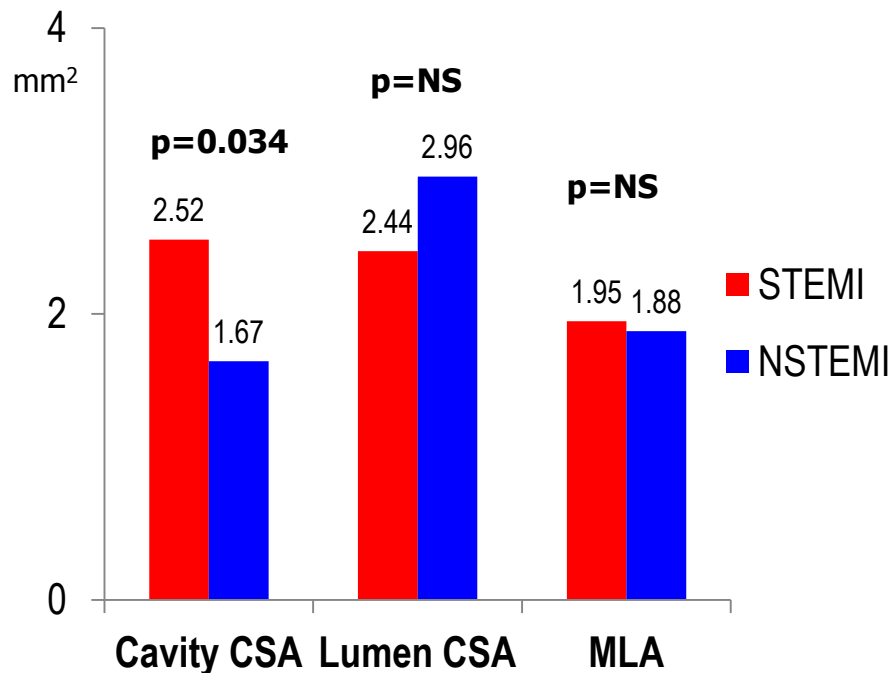


**Multiple OCT-derived TCFA**s in both the infarct-related/target and the noninfarct-related/nontarget lesions were observed in **38% of pts with AMI** but not in pts with SAP ( $p=0.007$ )

**Conclusions** The present OCT examination demonstrated multiple lesion instability in the presence of AMI.

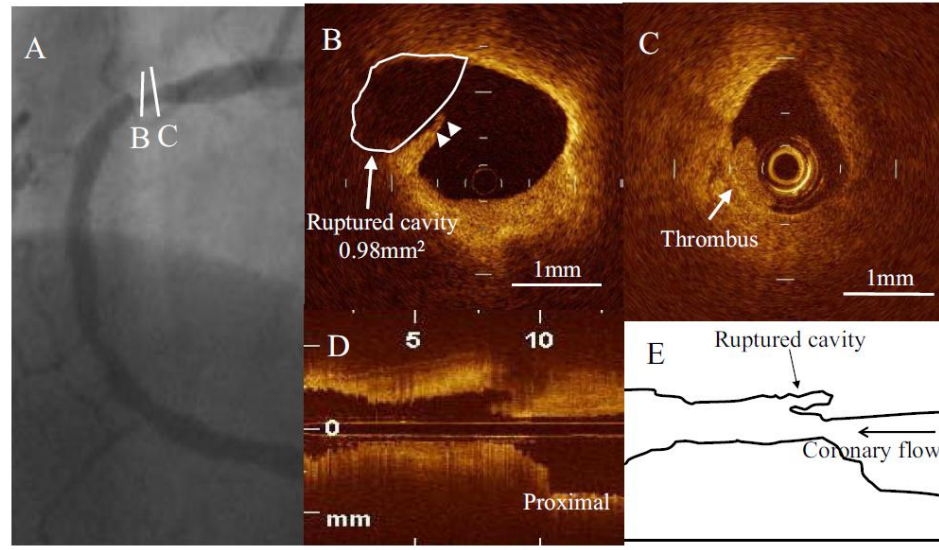
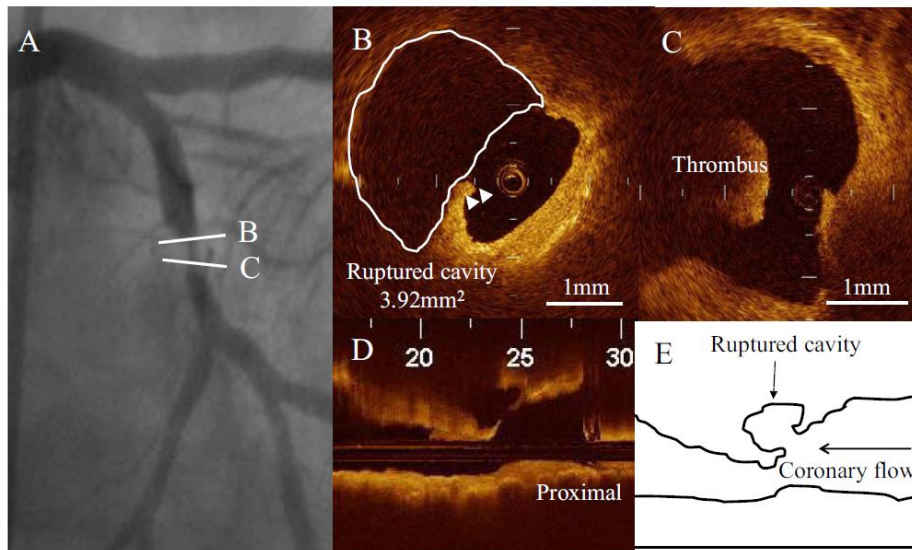
# Difference of culprit lesion morphologies: STEMI vs NSTEMI

- 89 culprit lesions in 89 pts (40 STEMI vs 49 NSTEMI)
- The incidence of **PR, TCFA and red thrombus** was significantly **higher in STEMI** compared with NSTEMI (70% vs. 47%,  $p = 0.033$ , 78% vs. 49%,  $p = 0.008$ , and 78% vs. 27%,  $p < 0.001$ , respectively).
- **PR of which aperture was open-wide against the direction of coronary flow** was more often seen **in STEMI** compared with NSTEMI (46% vs. 17%,  $p = 0.036$ ).



# OCT Findings of PR STEMI vs NSTEMI

|   | STEMI   | NSTEMI | P Value |
|---|---------|--------|---------|
| Proximal location of ruptured cavity                                  | 18 (64) | 8 (35) | 0.036   |
| Longitudinal morphological features of plaque rupture (proximal type) | 13 (46) | 4 (17) | 0.039   |



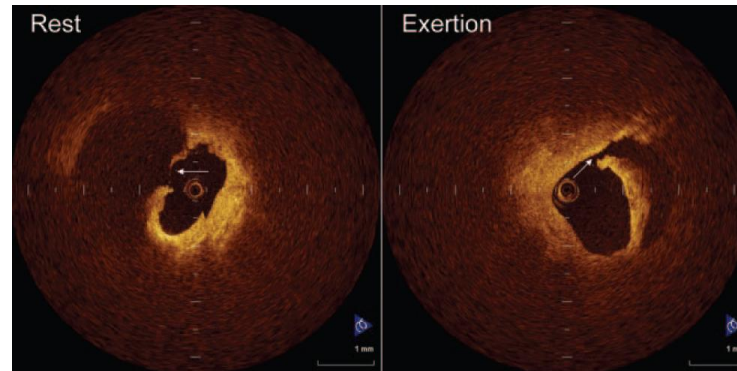
**STEMI**

**NSTEMI**



# Exercise-triggered Plaque Rupture

43 consecutive ACS patients  
Plaque rupture in 43 (60%)  
Onset at rest vs onset with exertion

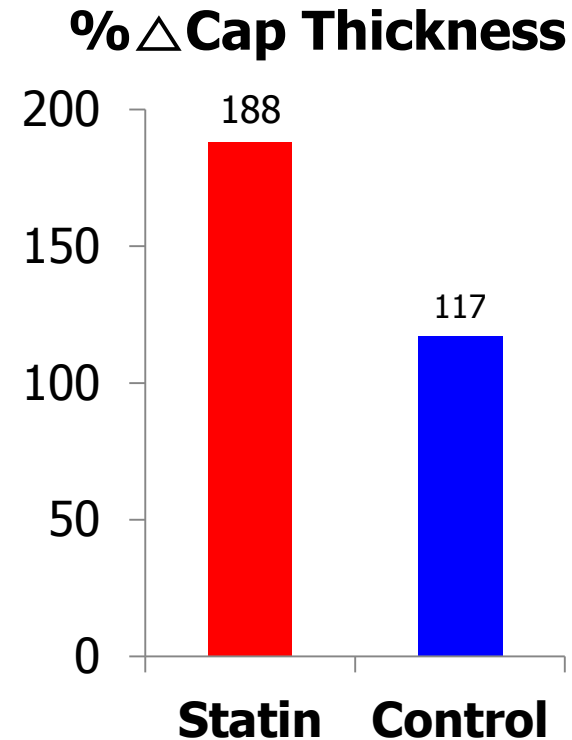


|  | <b>Rest</b><br><b>n=28</b> | <b>Exercise</b><br><b>n=15</b> | <b>P</b> |
|--|----------------------------|--------------------------------|----------|
| Thrombus                                 | 27 (96)                    | 11(73)                         | 0.04     |
| Thin-cap fibroatheroma at culprit site   | 16 (57)                    | 6 (40)                         | 0.35     |
| Broken at plaque shoulder                | 16 (57)                    | 14 (93)                        | 0.017    |
| Thickness of broken fibrous cap, $\mu$ m | 50 [median 15]             | 90 [median 65]                 | 0.0017   |

Conclusion: The morphologies of exertion-triggered and rest-onset ruptured plaques differ in ACS patients. some plaque rupture may occur in thick fibrous caps depending on exertion levels.

# Effect of statin therapy on coronary fibrous-cap thickness in pts with ACS

Forty AMI patients with hyperlipidemia were divided into statin treatment (n=23) vs control (n=17); serial OCT of a non-treated, lipid-rich lesion was performed at baseline and 9-month follow-up.



**Conclusion:** Statin therapy for 9 months after the onset of AMI increased fibrous-cap thickness in patients with hyperlipidemia.

# OCT based diagnosis makes treatment strategy in pts with AMI

**Non-culprit, non-obstructing lesion pts with AMI could be stabilized with medical tx: **antiplatelet agents****

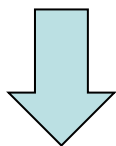
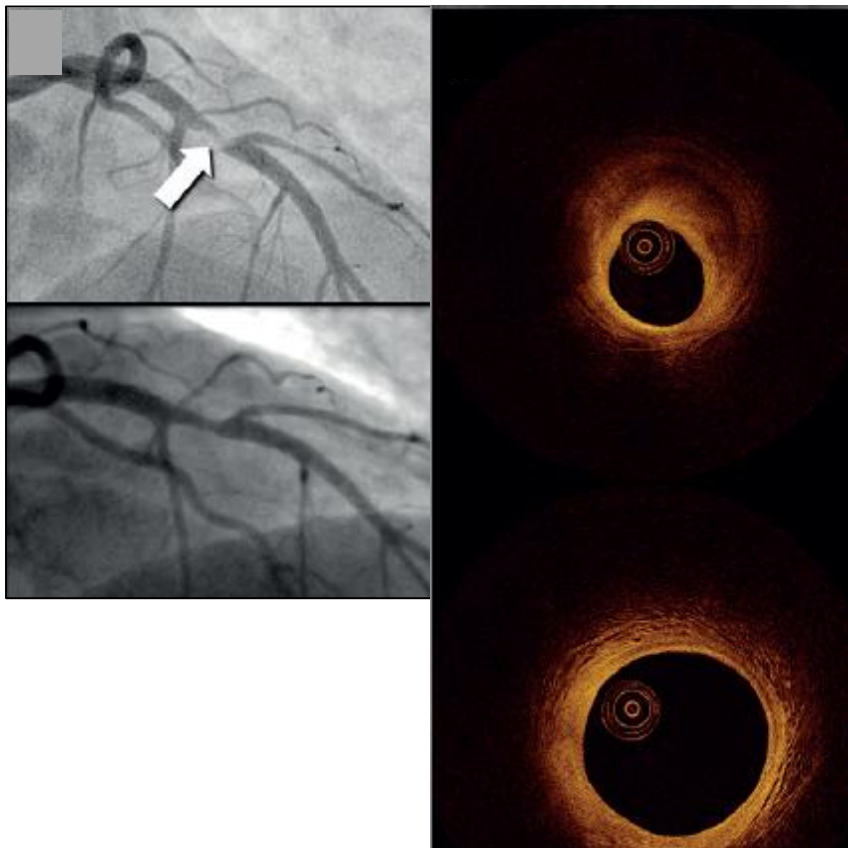
Plaque erosion as the cause in 31 pts presenting with STEMI.

At a median fu of 753 ds, all pts were asymptomatic, regardless of stent implantation

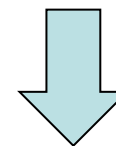
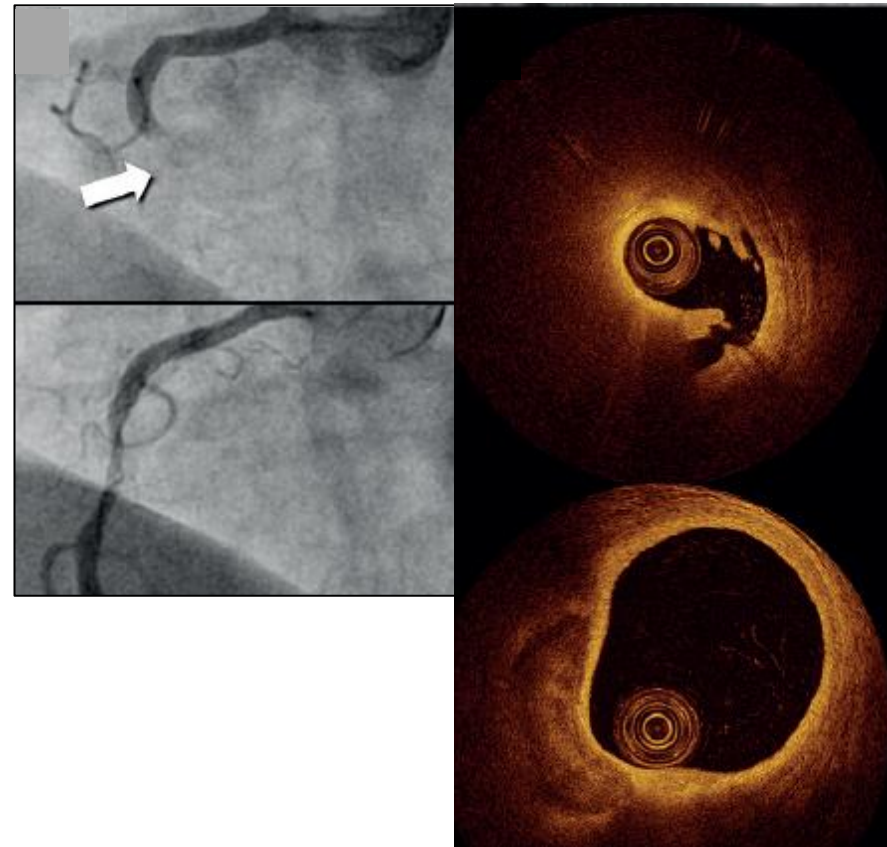
|                                    | <b>Med Tx<br/>(n = 12)</b> | <b>PCI<br/>(n = 19)</b> | p Value |
|------------------------------------|----------------------------|-------------------------|---------|
| Pre-aspiration DS, %               | 79.4 ± 33.3                | 87.9 ± 17.3             | 0.95    |
| Post-aspiration DS, %              | 27.1 ± 19.4                | 32.0 ± 35.2             | 0.48    |
| Pre-aspiration TIMI flow grade ≤2  | 9 (75)                     | 15 (79)                 | 0.85    |
| Post-aspiration TIMI flow grade ≤2 | 1 (8)                      | 0                       | 0.81    |
| Total ischemic time, h             | 3.5 ± 3.0                  | 3.6 ± 2.3               | 0.82    |

Conclusion: These observations support an alternative treatment strategy for patients with acute coronary events and optical coherence tomography-verified intact fibrous cap (or plaque erosion), where nonobstructive lesions might be managed without stenting.

# Representative Cases



**Thrombosuction only**



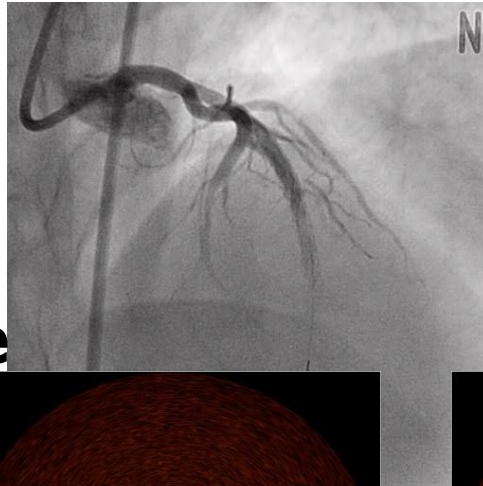
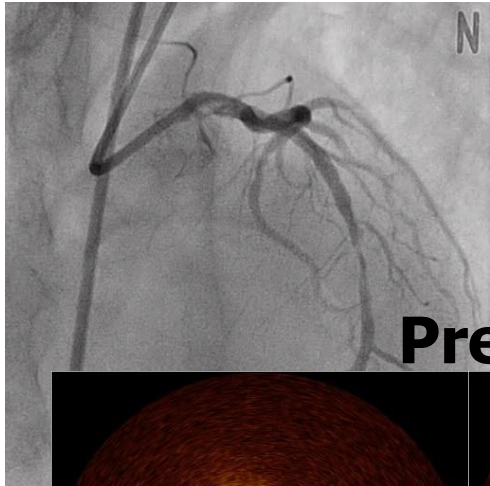
**Thrombosuction + stent**

# OCT features related with post-PCI myocardial injury

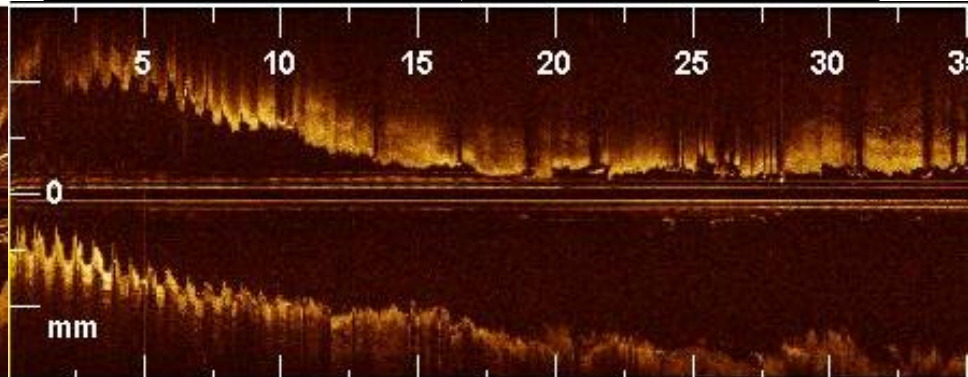
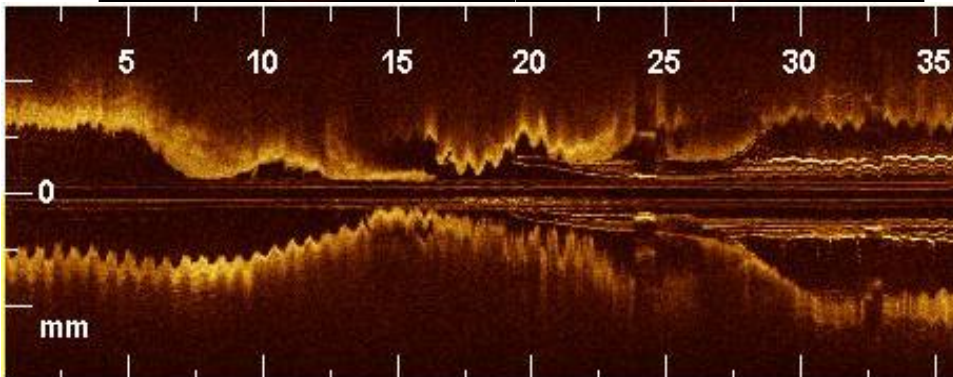
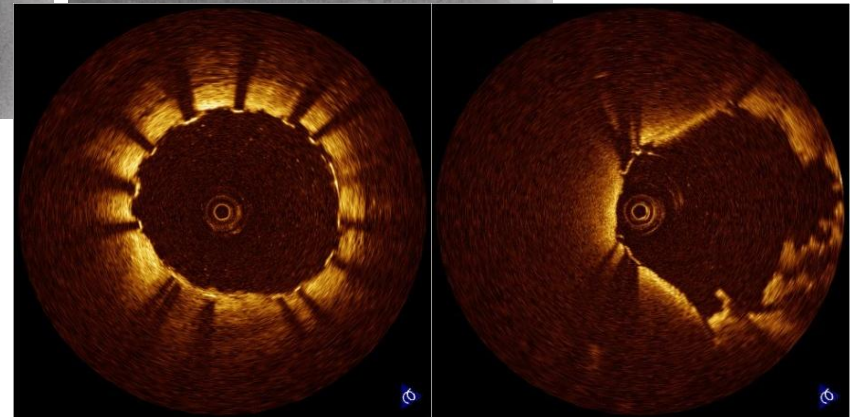
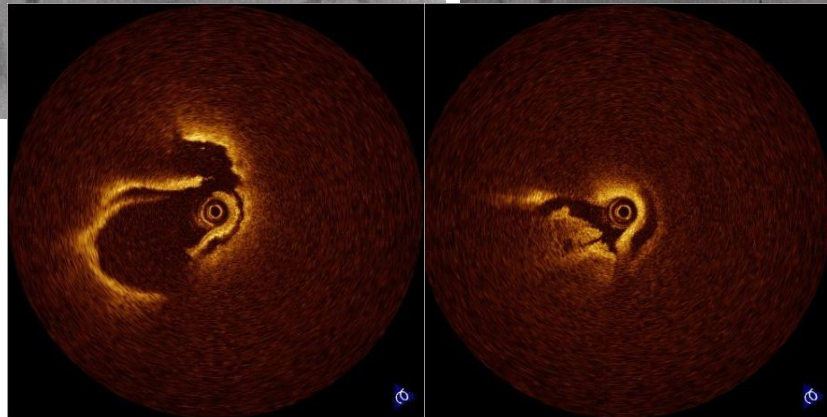
| STUDY   | PATIENTS  | DEFINITION                              | FINDINGS  |
|---|---|---|---|
| Tanaka, EHJ 2009;30:1348                      | 83 NSTEMI pts (14 no-reflow vs 69 reflow) undergoing primary stenting           | No reflow<br>Final TIMI blush           | <b>OCT-TCFAs</b> (50% vs. 16%, P = 0.005)<br><b>Lipidic arc</b> (odds ratio 1.018; CI 1.004-1.033; P = 0.01)  |
| Yonetsu, Int J Cardiol 2011;146:80            | 125 angina pt (35 CK vs 90 NCK)   | CK-MB elevation                         | <b>OCT-TCFAs</b> (OR 4.68, 95% CI 1.88-11.64, p=0.001)  |
| Lee, Circ Cardiovasc Interv 2011;4:378        | 131 angina pts (31 vs 100 NPM)  | cTnI >3x URL                            | <b>OCT-TCFAs</b> (odds ratio, 10.47; 95% confidence interval, 3.74 to 29.28; P<0.001)   |
| Lee, JACC Cardiovasc Interv 2011;4:483        | 135 angina pts  | CK-MB elevation                         | <b>OCT-PRs</b> (OR: 2.92; 95% CI: 1.21 to 7.06; p = 0.017)<br><b>plaques with echo attenuation</b> (odds ratio [OR]: 3.49; 95% confidence interval [CI]: 1.53 to 7.93; p = 0.003) |
| Prorto, Circ Cardiovasc Interv 2012;5:89      | 50 stable and NSTEMI pts  | TnT elevation                           | <b>OCT-TCFAs</b> (OR 29.7, 95% CI 1.4 to 32.1)  |
| Imola, Am J Cardiol 2013;111:526              | 30 (15 vs 15 control) pts without ongoing MI underwent PCI c stent OCT database | CK-MB elevation                         | <b>Proximal edge OCT lipid pools</b> (10 [66%] vs 2 [13%], p = 0.009)<br><br>Lipidic arc  |
| Ueda, Coron Artery Dis 2014;25:384            | 68 stable pts (25 MI)   | TnT elevation                           | OCT-TCFAs 2.91 (0.68-13.55) p=0.1488<br><b>Spotty calcium</b> (4.82 (1.51-16.85) p=0.0076)<br>Both (odds ratio 21.00, 95% confidence interval 2.65-454.22, P=0.003).              |
| Higuma JACC Interv 2015;8:1166                | 112 STEMI underwent PCI within 12 h   | Myocardial blush grade ≤1 and no-reflow | TMP: <b>PRs</b> 30.6% <b>Plaque erosion</b> 6.7% <b>CN</b> 22.2% p=0.010<br>No reflow: <b>PRs</b> 38.9% <b>Plaque erosion</b> 13.3% <b>CN</b> 22.2% p=0.011                       |
| Lee et al. Circ Cardiovasc Interv 2015, e-pub | 206 Stable CAD pts  | cTnI >5x UNL                            | <b>OCT-TCFAs</b> (odds ratio, 2.89; 95% confidence interval, 1.22-6.86; P=0.016)  |



# Case: high risk plaque



LPK M/66  
STEMI



# Multimodality IV Imaging to Predict Periprocedural MI During PCI

110 patients who underwent OCT, IVUS, and NIRS  
Periprocedural MI was defined as a post-procedural cTnI >3x URL  
cTnI >3x URL was observed in 10 patients (9%)

|             |                              | UNIVARIATE         |         | MULTIVARIATE       |         |
|-------------|------------------------------|--------------------|---------|--------------------|---------|
|             |                              | OR(95% CI)         | P value | OR(95% CI)         | P value |
| <b>OCT</b>  | <b>minimum cap thickness</b> | 0.886(0.796-0.849) | <0.01   | 0.896(0.799-0.962) | 0.02    |
| <b>IVUS</b> | <b>plaque burden</b>         | 1.161(1.043-1.326) | 0.01    | 1.040(0.927-1.195) | 0.54    |
| <b>NIRS</b> | <b>maxLCBI4mm</b>            | 1.004(1.001-1.007) | <0.01   | 1.001(0.997-1.005) | 0.65    |

# Imaging predictors in non-culprit lesion for clinical outcomes

## Prospective observational studies

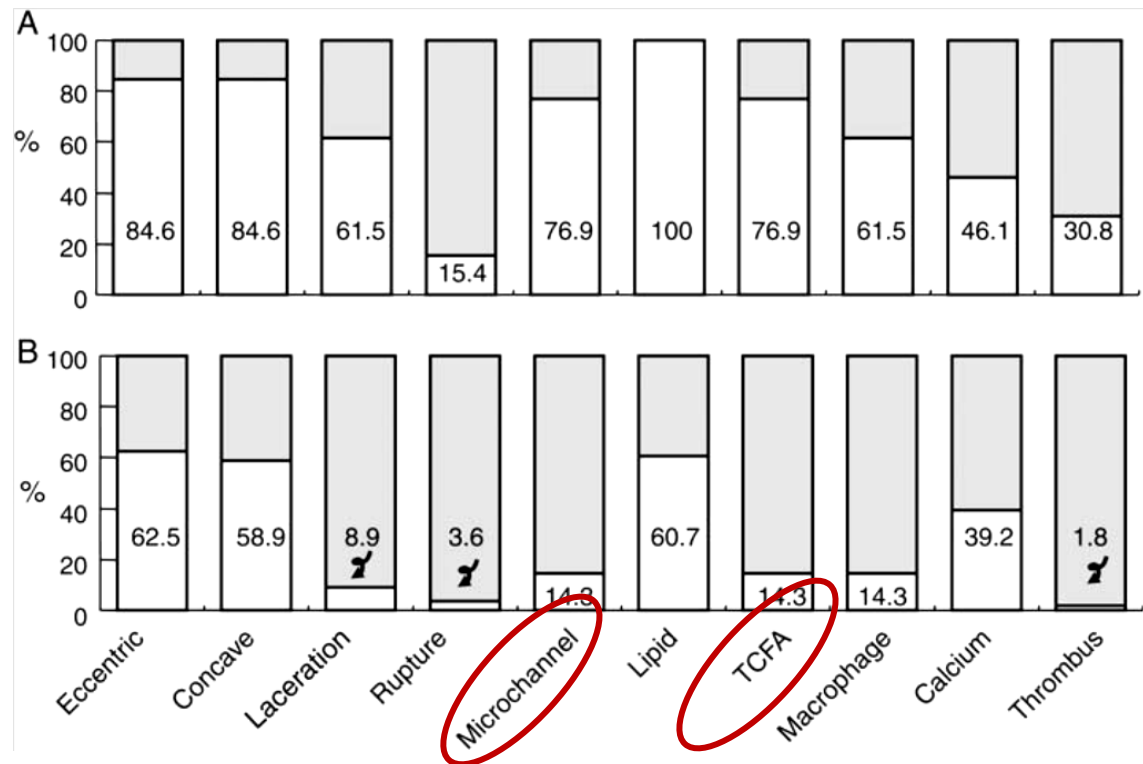
| STUDY  | PATIENTS   | METHOD             | OUTCOME   | RESULTS  |
|--|--|--------------------|---|--|
| Ohtani, JACC 2006;47:2194                                  | 552 pts  | <b>Angioscopy</b>  | 7.1% ACS events @ 57.3±22.1 mons  | <b>Number of yellow plaques</b> (adjusted HR1.23[1.03-1.45], p=0.02)   |
| <b>PROSPECT</b><br>Stone, N Engl J Med. 2011;364(3):226-35 | 697 ACS pts  | <b>3-v VH-IVUS</b> | 11.6% NCL-MACE (Cardiac death, cardiac arrest, MI, or rehospitalization due to unstable or progressive angina) @ 3.4 ys | <b>PB≥70%</b> (HR 5.03[2.51-10.11], p<0.001), <b>MLA ≤4.0 mm<sup>2</sup></b> (HR3.21[1.61-6.42], p=0.001), <b>VH-TCFA</b> (HR3.35[1.77-6.36], p<0.001) |
| <b>VIVA</b><br>Calvert, JACC img 2011;4:894-90             | 931 non-culprit lesions in 170 pts (70 Tn (+) ACS)   | <b>3-v VH-IVUS</b> | 1.4% NCL-MACE (death, MI or unplanned revascularization) @ 625ds  | <b>VHTCFA</b> (HR7.53, p=0.038) and <b>PB &gt;70%</b> (HR 8.13, p=0.011) <b>remodeling index</b> (HR2686 [1.94 - 3.72 × 10 <sup>6</sup> ], p=0.032)    |
| <b>ATHEROREMO-IVUS</b><br>Cheng, Eur Heart J 2014;35:639   | Non-culprit artery(in 581 pts(318 ACS)   |                    | 7.8 NCL-MACE(mortality, ACS, or unplanned coronary revascularization) @ 1yr   | <b>VHTCFA</b> (adjusted HR1.98[1.09-3.60], p=0.026) and <b>PB ≥70%</b> (adjusted HR2.90[1.15-5.49], p=0.021)   |
| <b>ATHEROREMO-NIRS</b><br>Rohit, JACC 2014;64:2510         | Non-culprit artery(at least 40mm in length and <50% stenosis, preferred LAD>RCA>LCX) in 203 pts(47% ACS) | <b>1-v NIRS</b>    | 10.4% MACE (all-cause mortality, nonfatal ACS, stroke, and unplanned coronary revascularization) @ 1yr                  | <b>LCBI ≥ 43.0 (median)</b> (adjusted HR4.04[1.33-12.29], p=0.01)  |

# OCT Prediction of Plaque Progression

OCT was used to evaluate morphological characteristics of non-significant coronary plaques that develop rapid progression in 53 patients with coronary artery disease.

## Progression (+)

Increase in MLD of >0.4 mm on QCA during 7-month follow-up



## Progression (-)

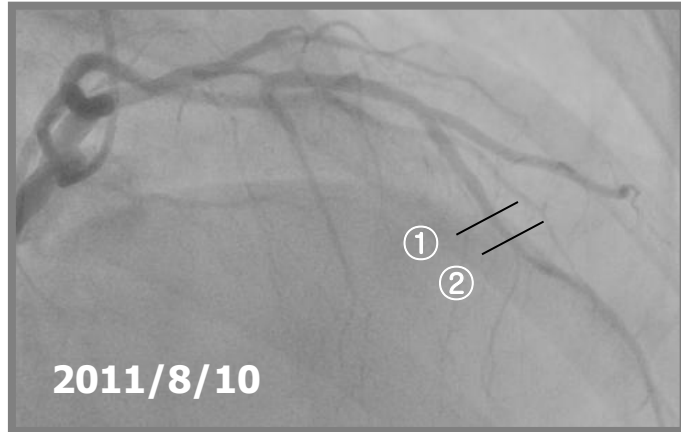
**Conclusion:** OCT-based complex characteristics of TCFA and microchannel were the potential predictors of subsequent plaque progression.



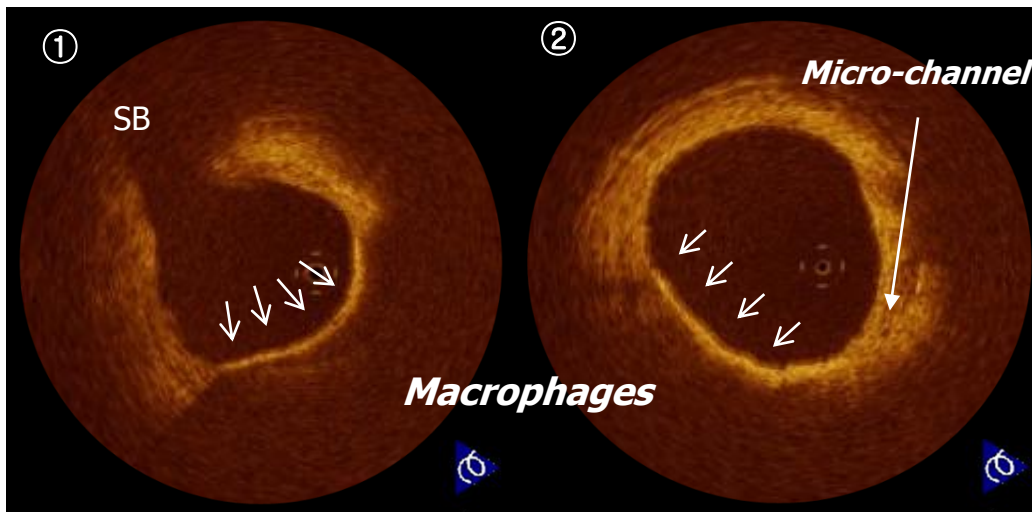
# Case: Lesion progression

58/M

Baseline



8-month later



Courtesy of Dr Kubo T

# Can We Predict Plaque Vulnerability by Invasive Imaging?

- Assessment of plaque burden
- Assessment of fibrous cap
- Necrotic core characterization
- Vessel remodeling
- Inflammation
- Endothelial function



# Histopathologic Characteristics of ASCD and Implications of the Findings for the Invasive and Noninvasive Detection of VPs

## Multivariate RPA Model

Includes ALL Characteristics

Excludes FIBROUS CAP THICKNESS

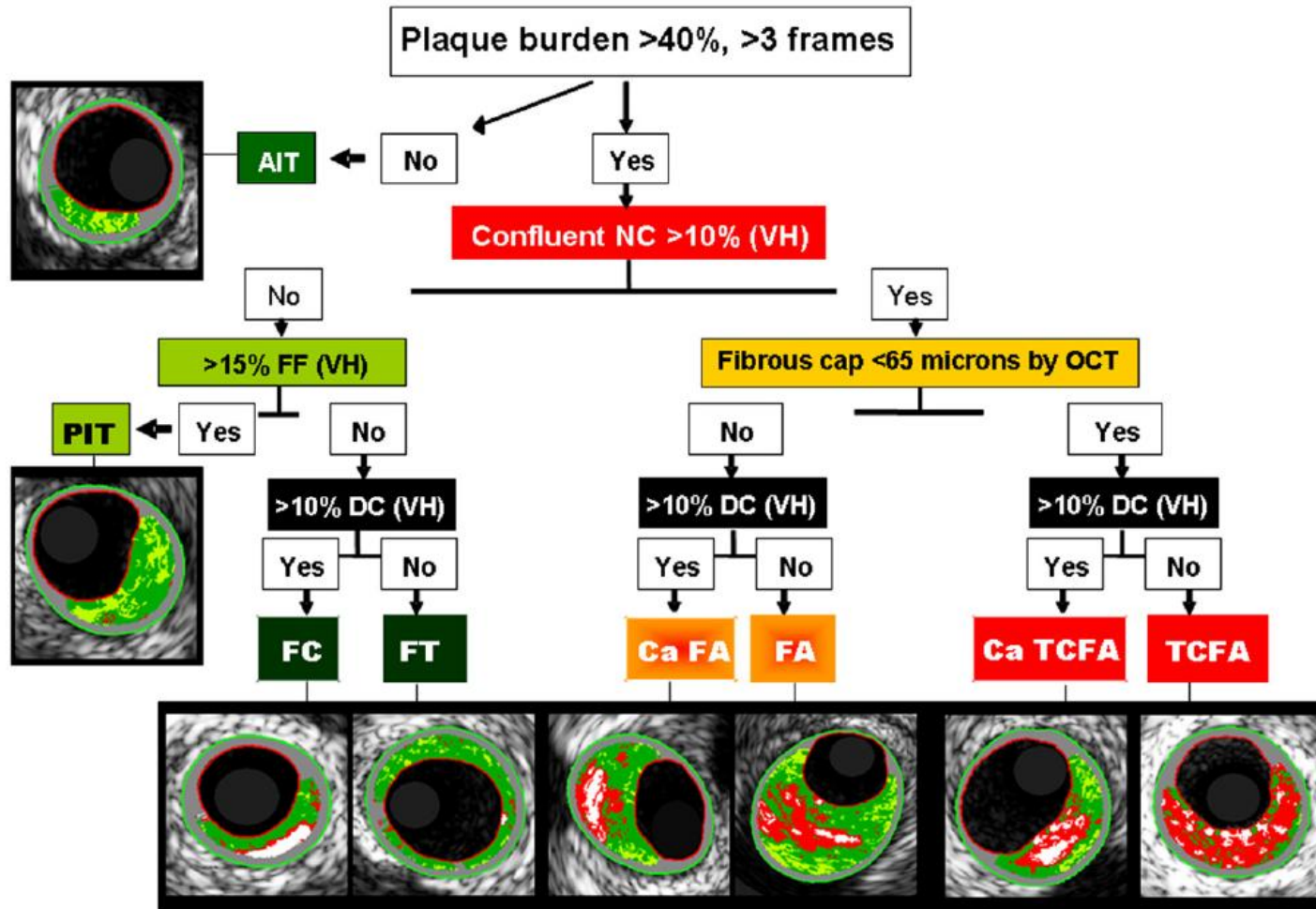
| All Rows |                |
|----------|----------------|
| Count    | G <sup>2</sup> |
| 295      | 646.48         |
| Level    | Prob           |
| FA       | 35.6%          |
| TCFA     | 29.8%          |
| PR       | 34.6%          |

| All Rows |                |
|----------|----------------|
| Count    | G <sup>2</sup> |
| 295      | 646.48         |
| Level    | Prob           |
| FA       | 35.6%          |
| TCFA     | 29.8%          |
| PR       | 34.6%          |

**Fibrous cap thickness** emerged as the best discriminator of plaque type; the cap thickness measured  $<55 \mu\text{m}$  in ruptured plaques, and all FA were associated with  $>84\mu\text{m}$  cap thickness. After exclusion of cap thickness, analysis of the plaque characteristics revealed **macrophage infiltration** and **necrotic core** to be the 2 best discriminators of plaque types.



# Imaging plaques to predict and better manage patients with acute coronary events



# Take Home Messages

- OCT plays important role to detect VPs (other vulnerable plaque features as well).
- OCT provides detail morphologic information of VPs and help us to understand the mechanism of clinical events of VPs.
- OCT plaque vulnerable features are related with post-procedural MI.
- OCT plaque vulnerable features regarding future CV events should be investigated in the future.
- Combined intravascular imaging modality might be promising to detect and prevent future event .